



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:  
John S. HENDRICKS

Atty. Docket No. 026880-00020

Serial No.: 09/335,268

Examiner: Cesar B. Paula

Filed: June 17, 1999

Art Unit: 2178

For: ELECTRONIC BOOK WITH INFORMATION MANIPULATION FEATURES

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: September 28, 2006

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the information item(s) listed on the attached Form PTO-SB08. Unless otherwise indicated herein, one copy of each item(s) is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the item(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☐ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date, OR (b) before the mailing date of a first Office Action on the merits in the present application, OR (c) accompanies a Request for Continued Examination. No certification or fee is required.
- ☒ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).

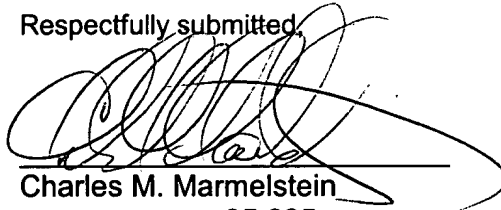
01 FC:1886

180.00 OP

- ☒ c. A check in the amount of \$180.00 in payment of the fee under 37 CFR §1.17(p). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Attached is our check in the amount of \$180.00 in payment of the petition fee under 37 CFR §1.17(i)(1). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
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- ☒ 4. The references were cited in corresponding foreign applications and related U.S. and foreign applications.
- ☒ 5. Copies of the foreign patent documents and the publications are on the attached CD.

In the event that any fees are due with respect to this paper, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 01-2300, referencing Atty. Docket No. 026880-00020.

Respectfully submitted,



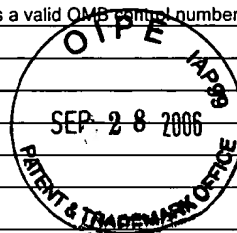
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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> Form PTO/SB/08a				Complete if Known	
				Application Number	09/335,268
				Filing Date	June 17, 1999
				First Named Inventor	John S. HENDRICKS
				Art Unit	2178
				Examiner Name	Cesar B. Paula
Sheet	1	of	28	Attorney Docket Number	026880-00020



### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		3,869,873		n/a	Ringstad	
		3,891,792		n/a	Kimura	
		3,978,470		n/a	McGuire	
		4,071,697		01-1978	Bushnell et al.	
		4,197,590		4/8/1980	Sukonick, et al.	
		4,251,671		n/a	Reed et al.	
		4,298,793		11/1981	Melis et al.	
		4,361,848		11/30/1982	Poigner et al.	
		4,381,522		4/26/1983	Lambert	
		4,398,216		n/a	Field et al.	
		4,405,829		09-1983	Rivest et al.	
		4,429,385		01-1984	Cichelli et al.	
		4,455,570		6/19/1984	Saeki et al.	
		4,484,217		11/20/1984	Block, et al.	
		4,488,179		12/11/1984	Kruger, et al.	
		4,517,598		5/14/1985	Van Valkenburg et al.	
		4,528,589		n/a	Block et al.	
		4,528,643		07-1985	Freeny, Jr., Charles C.	
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		4,533,948		8/6/1985	McNamara et al.	
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		4,567,512		1/1996	Abraham	
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		4,587,520		5/6/1986	Astle	
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		4,605,964		8/12/1986	Chard	
		4,616,263		10-1986	Eichelberger, George P.	
		4,621,282		n/a	Ahern	
		4,625,076		11-1986	Okamoto et al.	
		4,625,235		11-1986	Watson, John N.	
		4,633,462		n/a	Stifle et al.	
		4,639,225		1/27/1987	Washizuka	
		4,644,470		Feb-87	Feigenbaum et al.	
		4,653,100		03/1987	Barnett, et al.	
		4,668,218		5/26/1987	Virtanen	
		4,668,246		n/a	Eilers et al.	
		4,673,976			Wreford-Howard	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code. <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.

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		4,688,218		8/18/1987	Bliveau et al.	
		4,688,245		8/18/1987	Eilers, et al.	
		4,691,109		10/2/1990	Tanaka	
		4,694,483		9/1987	Cheung	
		4,694,490		9/15/1987	Harvey et al.	
		4,697,209		9/29/1987	Kiewit et al.	
		4,706,121		n/a	Young	
		4,712,105		12/8/1987	Kohler	
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		4,724,491		2/9/1988	Lambert	
		4,739,510		n/a	Jeffers et al.	
		4,751,578		6/14/1988	Reiter et al.	
		4,768,087		8/1988	Taub et al.	
		4,792,972		12/20/1988	Cook, Jr.	
		4,805,014		n/a	Sahara, et al.	
		4,816,901		3/28/1989	Music, et al.	
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		4,820,167		4/1989	Nobles et al.	
		4,829,372		n/a	McCalley et al.	
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		4,860,379		8/22/1989	Schoenberger et al.	
		4,876,736		10/24/1989	Kiewit	
		4,885,803		n/a	Hermann et al.	
		4,891,838		01-1990	Faber, Lawrence M.	
		4,920,432		4/24/1990	Eggers, et al.	
		4,924,513		05-1990	Herbison et al.	
		4,928,168		5/22/1990	Iwashita	
		4,928,177		05-1990	Martinez, Louis	
		4,930,160		5/29/1990	Vogel	
		4,947,429		8/7/1990	Bestler, et al.	
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		4,959,810		9/25/1990	Darbee, et al.	
		4,961,109		10/2/1990	Tanaka	
		4,965,819		10-1990	Kannes, Deno	

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				Art Unit	2178		
				Examiner Name	Cesar B. Paula		
Sheet	3	of	28	Attorney Docket Number	026880-00020		
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	

		4,965,825		10/23/1990	Harvey, et al.	
		4,975,951		12/4/1990	Bennett	
		4,977,455		12/11/1990	Young	
		4,982,344		1/1991	Jordan	
		4,985,697		01/15/1991	Boulton	
		4,995,078		2/19/1991	Monslow et al.	
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		4,997,455		n/a	Young	
		5,001,554		3/19/1991	Johnson et al.	
		5,003,384		3/26/1991	Durden, et al.	
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		5,010,499		04-1991	Yee, Keen Y.	
		5,014,125		5/7/1991	Pocach et al.	
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		5,020,129		5/28/1991	Martin et al.	
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		5,036,394		7/30/1991	Mori	
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		5,056,138		n/a	Tyson, Sr.	
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		5,089,956		2/1/1992	MacPhail	
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		5,115,426		n/a	Spanke	
		5,121,476		6/9/1992	Yee	

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		5,124,980		6/23/1992	Maki	
		5,130,792		7/14/1992	Tindell, et al.	
		5,130,924		7/1992	Barker, et al.	
		5,132,789		7/21/1992	Ammon et al.	
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		5,140,419		08-1992	Galumbeck et al.	
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		5,185,667		n/a	Zimmerman et al.	
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		5,202,817		4/13/1993	Koenck, et al.	
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		5,206,722		4/27/1993	Kwan	
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		5,206,954		4/27/1993	Inoue et al.	
		5,208,745		05-1993	Quentin et al.	
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		5,212,553		5/18/1993	Maruoka	
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		5,223,924		6/29/1993	Strubbe	
		5,233,333		08-1993	Borsuk, Sherwin M.	
		5,235,419		8/10/1993	Krause et al.	
		5,235,619		8/1993	Beyers et al.	

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Substitute for form 1449A/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** Form PTO/SB/08a

## **Complete if Known**

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Sheet	5	of	28
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		5,235,680		8/1993	Bijnagte	
		5,237,311		8/17/1993	Mailey, et al.	
		5,237,610		8/17/1993	Gammie et al.	
		5,239,665		8/1993	Tsuchiya	
		5,241,671		8/1993	Reed, et al.	
		5,242,770		n/a	Schmelzer et al.	
		5,247,347		9/1993	Litteral et al.	
		5,247,575		09-1993	Sprague et al.	
		5,251,324		10/1993	McMullan	
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		5,253,341		n/a	Rozmanith et al.	
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		5,282,028		n/a	Johnson et al.	
		5,282,206		10/1/1997	Wehmeyer et al.	
		5,283,639		2/1/1994	Esch et al.	
		5,285,272		2/8/1994	Bradley et al.	
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		5,289,288		2/22/1994	Silverman, et al.	
		5,291,554			Morales	
		5,293,540		3/8/1994	Trani et al.	
		5,293,633		n/a	Robbins	
		5,318,450		6/1994	Carver	
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		5,319,455		6/7/1994	Hoarty et al.	
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		5,319,649		6/1994	Raghaven et al.	
		5,319,707		6/7/1994	Wasilewski, et al.	
		5,321,846		6/1994	Yokota et al.	
		5,323,240		6/21/1994	Amano et al.	
		5,327,554		7/5/1994	Palazzi, III et al.	
		5,329,590		7/12/1994	Pond	
		5,339,091		08-1994	Yamazaki et al.	
		5,339,239		8/16/1994	Manabe et al.	
		5,339,315		8/16/1994	Maeda, et al.	
		5,341,166		8/23/1994	Garr, et al.	
		5,341,426		08/23/1994	Barney et al.	

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		5,418,559		5/23/1995	Blahut	

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				Application Number	09/335,268	
				Filing Date	June 17, 1999	
				First Named Inventor	John S. HENDRICKS	
				Art Unit	2178	
				Examiner Name	Cesar B. Paula	
Sheet	7	of	28	Attorney Docket Number	026880-00020	
<b>U.S. PATENT DOCUMENTS</b>						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

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				Art Unit	2178		
				Examiner Name	Cesar B. Paula		
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		5,632,022		5/1997	Warren et al.	
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		5,635,918		6/3/1997	Tett	
		5,636,036		06-1997	Ashbey, James A.	
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				Art Unit	2178	
				Examiner Name	Cesar B. Paula	
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<b>U.S. PATENT DOCUMENTS</b>						
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				Art Unit	2178		
				Examiner Name	Cesar B. Paula		
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		5,893,132		4/1999	Huffman et al.	
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		6,462,729		10/2002	Morita	
		6,493,734			Sachs et al.	
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Substitute for form 1449A/PTO

**Complete if Known**

Application Number	09/335,268
Filing Date	June 17, 1999
First Named Inventor	John S. HENDRICKS
Art Unit	2178
Examiner Name	Cesar B. Paula

Sheet	13	of	28	Attorney Docket Number	026880-00020
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Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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				Application Number	09/335,268
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				Art Unit	2178
				Examiner Name	Cesar B. Paula
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				First Named Inventor	John S. HENDRICKS
				Art Unit	2178
				Examiner Name	Cesar B. Paula
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				Art Unit	2178
				Examiner Name	Cesar B. Paula
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				Art Unit	2178
				Examiner Name	Cesar B. Paula
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code. <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.

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